

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/940.076

08/27/2001

Andrew Eric Carlson

09712-119001

CONFIRMATION NO. 3026

Date Mailed: 10/09/2001

FORMALITIES LETTER

OC000000006872547

FAUSTINO A. LICHAUCO Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110-2804

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/19/2002 BABRAHA1 00000059 09940076

01 FC:101 02 FC:105 740.00 DP 130.00 OP

Attorney's Docket No.: 09712-119001

E UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Andrew Eric Carlson

Art Unit : 2855

Serial No.: 09/940,076

Examiner: Unknown

Filed

Title

: August 27, 2001

: MONOLITHIC MULTIAXIS INTERFEROMETER

BOX MISSING PARTS

Commissioner for Patents Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 U.S.C. §1.53(b) mailed October 9, 2001 (copy enclosed), applicant as a large entity submits herewith the following:

- \times Payment of the basic filing fee of \$740;
- $|\mathbf{X}|$ Payment of the surcharge of \$130 for late filing of the basic filing fee and/or declaration;
- \boxtimes A check in the total amount of \$870 is attached;
- A Combined Declaration and Power of Attorney in compliance with 37 CFR \times §1.63;

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

April 9, 2002

Date of Deposit

ature

Dawn M. Corey

Typed or Printed Name of Person Signing Certificate

Applicant: Andrew Eric Carlson

Serial No.: 09/940,076 Filed: August 27, 2001

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Attorney's Docket No.: 09712-119001 / Z-265

Respectfully submitted,

Date: 4/9/02

Marc M. Wefers* for

Eric L. Prahl Reg. No. 32,590

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*See attached document certifying that Marc M. Wefers has limited recognition to practice before the U.S. Patent and Trademark Office under 37 C.F.R. §10.9(b).

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EFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE UNITED STATE PATENT AND TRADEMARK OFFICE

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Marc M. Wefers is hereby given limited recognition under 37 CFR §10.9(b) as an employee of Fish & Richardson P.C., to prepare and prosecute patent applications wherein the patent applicant is the client of Fish & Richardson P.C. and the attorney or agent of record in the applications is a registered practitioner who is a member of Fish & Richardson P.C. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Marc M. Wefers' employment with Fish & Richardson P.C. ceases or is terminated, or (iii) Marc M. Wefers' ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: January 7, 2003

Harry I. Moatz

Director of Enrollment and Discipline